Settlement costs were paid to address matters where non-compliance with EHS laws was alleged. Pfizer paid three civil penalties >$100,000 from 2013 through 2016 – two at the Barceloneta, Puerto Rico facility and one at the former Bristol, Tennessee facility.

- In 2016, Pfizer Pharmaceuticals LLC (PPLLC) paid a civil penalty of $190,000 to settle allegations by the United States Environmental Protection Agency (U.S. EPA) that, at certain times in the past, the Barceloneta facility did not file a risk management plan with the U.S. EPA as required under the Clean Air Act.
- In 2014, Pfizer paid a civil penalty of $317,992 to settle allegations by U.S. EPA of non-compliance with certain leak detection and repair provisions of the Clean Air Act pertaining to hazardous air pollutants at its Barceloneta, Puerto Rico facility. Pfizer has no reason to believe, and the U.S. EPA did not assert through this action, that the alleged violations resulted in environmental harm. As part of this settlement, Pfizer also completed a Supplemental Environmental Project to enhance recycling in the municipality of Barceloneta at a cost of approximately $410,400.
- In 2013, King Pharmaceuticals LLC, a subsidiary of Pfizer, paid a civil penalty of $2,200,000 to settle allegations by U.S. EPA and Tennessee Department of Environment & Conservation that King’s former Bristol, Tennessee manufacturing facility violated certain Clean Air Act requirements pertaining to hazardous air pollutants between 2002 and 2006. These alleged violations occurred several years prior to Pfizer’s acquisition of King in 2011. King has no reason to believe, and the government has not asserted through this action, that the alleged violations resulted in environmental harm.

• Penalties paid – currency equivalent in USD.
• Pfizer is required to report single penalties paid of $100,000 or more in its SEC filings.
• The table above discloses non-compliance events resulting in fines/penalties that were paid in the reporting year.

PERFORMANCE:
Settlement costs were paid to address matters where non-compliance with EHS laws was alleged. Pfizer paid three civil penalties >$100,000 from 2013 through 2016 – two at the Barceloneta, Puerto Rico facility and one at the former Bristol, Tennessee facility.

- In 2016, Pfizer Pharmaceuticals LLC (PPLLC) paid a civil penalty of $190,000 to settle allegations by the United States Environmental Protection Agency (U.S. EPA) that, at certain times in the past, the Barceloneta facility did not file a risk management plan with the U.S. EPA as required under the Clean Air Act.
- In 2014, Pfizer paid a civil penalty of $317,992 to settle allegations by U.S. EPA of non-compliance with certain leak detection and repair provisions of the Clean Air Act pertaining to hazardous air pollutants at its Barceloneta, Puerto Rico facility. Pfizer has no reason to believe, and the U.S. EPA did not assert through this action, that the alleged violations resulted in environmental harm. As part of this settlement, Pfizer also completed a Supplemental Environmental Project to enhance recycling in the municipality of Barceloneta at a cost of approximately $410,400.
- In 2013, King Pharmaceuticals LLC, a subsidiary of Pfizer, paid a civil penalty of $2,200,000 to settle allegations by U.S. EPA and Tennessee Department of Environment & Conservation that King’s former Bristol, Tennessee manufacturing facility violated certain Clean Air Act requirements pertaining to hazardous air pollutants between 2002 and 2006. These alleged violations occurred several years prior to Pfizer’s acquisition of King in 2011. King has no reason to believe, and the government has not asserted through this action, that the alleged violations resulted in environmental harm.